

# REGISTRATION FORM

Asset Manager

**EKUSH WEALTH MANAGEMENT LIMITED**

**Investor Name:**

**Investor Code:**

# INVESTOR'S REGISTRATION FORM

Asset Manager: Ekush Wealth Management Limited  
(Please Fill up the form using only BLOCK LETTERS)

## PRINCIPAL APPLICANT'S INFORMATION

DATE 

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### PERSONAL INFORMATION

Name (in BLOCK LETTER)

--

Father's/Husband's Name

--

Mother's Name

--

NID/Passport Number

--

### CONTACT INFORMATION

Contact Number/s

--

Email Address

--

Present Address

--

Permanent Address

--

### FINANCIAL AND INVESTMENT-RELATED INFORMATION

Investor's Bank Account's Name

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Account Number

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Bank Name

--

Branch Name

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Dividend Option: ☐ Cash ☐ CIP (Cumulative Investment Plan)

BO Account Number

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TAX Identification Number (TIN)

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### TO BE FILLED BY THE OFFICE

Contact Person Information	
Name	
Contact Number	
Email	
Designation	

Investor Code

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# INVESTOR'S REGISTRATION FORM

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## JOINT APPLICANT'S INFORMATION

### ▪ PERSONAL INFORMATION

Name (in BLOCK LETTER)

Father's/Husband's Name

Mother's Name

NID/Passport Number

### ▪ CONTACT INFORMATION

Contact Number/s

Email Address

Present Address

Permanent Address

### ▪ FINANCIAL AND INVESTMENT-RELATED INFORMATION

Investor's Bank Account's Name

Account Number

Bank Name

Branch Name

BO Account Number

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TAX Identification Number (TIN)

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Remarks

# INVESTOR'S REGISTRATION FORM

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## NOMINEE'S INFORMATION

### ▪ PERSONAL INFORMATION

Name (in BLOCK LETTER)

Father's/Husband's Name

Mother's Name

NID/Passport Number

### ▪ CONTACT INFORMATION

Contact Number/s

Email Address

Present Address

Permanent Address

### ▪ FINANCIAL AND INVESTMENT-RELATED INFORMATION

Nominee's Bank Account's Name

Account Number

Bank Name

Branch Name

BO Account Number

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TAX Identification Number (TIN)

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### ▪ RELATIONSHIP

The nominee is the \_\_\_\_\_ of the Principal Applicant. (Fill in the blank with the relationship type)

Remarks

# INVESTOR'S REGISTRATION FORM

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## INSTITUTIONAL INVESTOR'S INFORMATION

DATE 

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### ▪ INSTITUTE INFORMATION

Name of the Institution (in BLOCK LETTER)

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Type of Institution: ☐ Public ☐ Private ☐ Partnership ☐ Proprietorship ☐ Others \_\_\_\_\_

Business Activities: ☐ Manufacturing ☐ Financial ☐ Export/Import ☐ Service ☐ Trading

☐ Retailing ☐ Consultant ☐ Wholesaler ☐ Commission Agents ☐ Others \_\_\_\_\_

### ▪ CONTACT INFORMATION

Organization Address:

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Organization Email Address:

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Organization Phone Numbers:

Telephone		Fax	
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Name of the Managing Director/ Chief Executive Officer:

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Contact Details:

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Phone Number:

Telephone		Fax	
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Email Address:

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Date of Incorporation		Registration No.	
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Bank Details:

Bank Name		Branch	
Account No.		Routing No.	

Tread Licence Number:

	Expiry Date	D	D	M	M	Y	Y	Y	Y
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TIN/TaxID

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BO Account Number

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### ▪ SIGNATORY INFORMATION

Signatory I (Name)		Designation	
Signatory II (Name)		Designation	
Signatory III (Name)		Designation	
Signatory IV (Name)		Designation	

# INVESTOR'S REGISTRATION FORM

Asset Manager: Ekush Wealth Management Limited

(Please Fill up the form using only BLOCK LETTERS)

KYC (INVESTORS' AND NOMINEES' INFORMATION)

Investor's Name	Investor's Code

Identity Verification	NID	Passport	Driving License	Others

Address Verification	Personal Visit	Bank Statement	Utility Bill	E-Tin Certificate/Tax Assessment
	OTHER			

Occupation Verification	Private Service	Govt. Service	Business	NRB	Student	Others
	Visiting Card	Visiting Card	Trade License	Work Permit	Student ID	Document

Source of the Fund	Salary & Bonus	Business Income	Remittance	Rental Income	Sale of Assets	Others

Politically Exposed Person	YES	Approval From Senior Management (Signature)
	NO	

Risk Grading	High	
	Low	

Communication Exposure	e-Mail	Phone Call	SMS	Social Media	Courier	Others

Questions	YES	NO
Have you ever browsed our website?		
Are you aware of the product SIP?		
Do you have investment in Capital Market other than in EWML?		

Elements	Remarks
Your monthly income (approximately)	
Investment time horizon	
How often do you explore mutual funds' portfolio	

# INVESTOR'S REGISTRATION FORM

Asset Manager: Ekush Wealth Management Limited

(Please Fill up the form using only BLOCK LETTERS)

## TERMS AND CONDITIONS (T&C)

1. The Units of Ekush Wealth Management Limited (EWML) managed funds may be bought through EWML and authorized selling agents appointed by EWML from time to time. Surrender of Units is allowed only through EWML.
2. Application may be made by an individual (both residence and non-resident), a corporation or company (both local and foreign), a trust or a society (registered in or outside of Bangladesh) and not by a minor or unsound mind.
3. Joint application is acceptable by two persons. Registration and Unit allocation will be in favor of Principal Applicant while dividend and other benefits, if any, will be addressed to the bank account of Principal Applicant or Joint Applicant mentioned in the application form. In case of the death of any of the joint holders, only the survivor shall be recognized as having any title of the Units. On death of both the joint holders, the Units will bestow upon the nominee.
4. The Units may be transferred by way of inheritance/gift and/or by specific operation of the law. In case of transfer the fund will charge a nominal fee as decided by the asset manager from time to time except in the case of transfer by way of inheritance.
5. Dividend may be delivered in cash or by way of Units under Cumulative Investment Plan (CIP) as the application mentioned in the application form.
6. All payments in connection with or arising out of transactions in the Units hereby applied for shall be in BDT.

## SIP Specific T&C

7. Minimum instalment amount of the individual investor is BDT 1,000.00. For institutional investor, minimum instalment amount is BDT 10,000.00.
8. Instalment amount will be debited on the 5<sup>th</sup>, 15<sup>th</sup> and 25<sup>th</sup> day of each month. Investor will choose a date as per their convenience. If the day is a weekend/ holiday, instalment amount will be debited on the next working day.
9. After the maturity, the investor may- a) continue the instalment amount for another tenure b) keep the matured amount as non-SIP investment c) transfer the matured amount to the designated bank account of the investor.
10. For auto renewal option, the investor must submit another "Auto debit Instruction Form" having validity for another specific period.
11. There will no minimum lot size of units under SIP. Any remaining fraction amount will be converted when it sums up to one unit.
12. In case of return of a DDI (Direct Debit Instruction) by the investor's bank, the investor must either a) submit a cheque of the same amount within 5 (five) working days after getting notification from EWML or EWML may send the DDI again with the consent of the investor.
13. After clearance/encashment of DDI (Direct Debit Instruction), the units will be allocated in favor of the principal applicant with a denomination of the number of units proportionate to the prevailing purchase price of the week. The units will be delivered to the unit holder's BO A/C in demat form after each five instalments.
14. Surrender/partial surrender IS NOT ALLOWED until the instalment tenor ends.

## Non-SIP Specific T&C

15. Minimum purchase amount is BDT. 5,000.00 for individual investors and BDT. 50,000.00 for institutional Investors.
16. Application for purchase of units should be accompanied by an A/C Payee Cheque/Pay Order/Bank Draft in favor of the relevant fund's name.
17. After clearance/encashment of cheque/draft/pay order, the applicant will be allocated units of the relevant fund against every purchase with a denomination of number of units s/he applies for. The units will also be delivered to the unit holder's BO A/C in demat form.
18. Surrender/partial surrender is allowed. However, in order to surrender/partially surrender, the investor will have to surrender the relevant fund's unit from her/his BO A/C to the relevant fund's repurchase account before asking for the surrender value.

Principal Applicant's / Signatory I

Signature \_\_\_\_\_

Date:

Joint Applicant's / Signatory II

Signature \_\_\_\_\_

Date:

# INVESTOR'S REGISTRATION FORM

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(Please Fill up the form using only BLOCK LETTERS)

## Signature Card (Individual)

### Principal Applicant

Full Name:
Signature:

Principal  
Applicant's  
Photograph

### Joint Applicant

Full Name:
Signature:

Joint Applicant's  
Photograph

### Nominee

Full Name:
Signature:

Nominee's  
Photograph

## Signature Card (Institution)

Signatory I	Name	Signature
Signatory II	Name	Signature
Signatory III	Name	Signature
Signatory IV	Name	Signature

Signatory I  
Photograph

Signatory II  
Photograph

Signatory III  
Photograph

Signatory IV  
Photograph

# INVESTOR'S REGISTRATION FORM

Asset Manager: Ekush Wealth Management Limited

(Please Fill up the form using only BLOCK LETTERS)

## CHECKLIST (INDIVIDUAL)

- Bank Details of the Principal Applicant\*
  - BO Account Information of the Principal Applicant\*
  - e-TIN of the Principal Applicant\*
  - NID/Passport of the Principal Applicant\*
  - Photographs of the Principal Applicant\*
  - Bank Cheque Copy\*
- 
- Bank Details of the Joint Applicant (If any)
  - BO Account Information of the Joint Applicant
  - e-TIN of the Joint Applicant
  - NID/Passport of the Joint Applicant
  - Photographs of the Joint Applicant
  - Bank Cheque Copy\*
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- Bank Details of the Nominee
  - BO Account Information of the Nominee
  - NID/Passport/Birth Certificate of the Nominee
  - Photographs of the Nominee\*
  - Bank Cheque Copy

\*Mandatory Elements


## CHECKLIST (INSTITUTIONAL)


- PP Sized Photograph of CEO/MD
- NID/Valid Passport of CEO/MD/Company Secretary/Authorized Signatory
- PP Sized Photographs of Signatories (Excluding CEO/MD)
- Copy of Memorandum and Articles of Association
- Copy of Certificate of Incorporation and Commencement of Business
- Photocopy of the latest version of Form XII
- Copy of valid Trade License/Trust Deed
- Extract of Board Resolution
- Tin Certificate
- BIN Certificate
- Copy of Cheque Leaf/Bank Account Certificate

\*\*\* Please provide only those documents which are applicable to your entity.

## Contact Us

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 [www.ekushwml.com](http://www.ekushwml.com)